

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Atty. Dkt.: Q67582

Keiji ONO, et al.

Appln. No. 10/002,201

Group Art Unit: 1756

Confirmation No.: 2082

Examiner: Unknown

Filed: December 5, 2001

For: HIGH-LUMINANCE PHOSPHOR LAYER

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
Washington, D.C. 20231

**FILED**  
APR 18 2002

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) (substitute for PTO Form 1449) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. Japanese Patent Application No. 2000-243302, published September 8, 2000, with English Abstract.
2. Japanese Patent Application No. 10-142781, published May 29, 1998, with English Abstract. (This application corresponds to U.S. Patent No. 6,106,992.)
3. U.S. Patent No. 6,106,992 issued August 22, 2000 to Asano et al.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution

ONO et al.  
Appln. No. 10/002,201  
Information Disclosure Statement

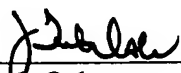
application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant relies on the English Abstracts as the brief statements of relevance.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

SUGHRUE MION, PLLC  
2100 Pennsylvania Avenue, N.W.  
Washington, D.C. 20037-3213  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

  
\_\_\_\_\_  
J. Frank Osha  
Registration No. 24,625

Date: April 18, 2002

Substitute for Form 1449 A &amp; B/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

|                        |                   |
|------------------------|-------------------|
| Application Number     | 10/002,201        |
| Confirmation Number    | 2082              |
| Filing Date            | December 05, 2001 |
| First Named Inventor   | Keiji ONO         |
| Art Unit               | 1756              |
| Examiner Name          | Unknown           |
| Attorney Docket Number | Q67582            |

Sheet

1

of

1

## **U.S. PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|-----------------------|--------------------------|-----------------|--------------------------------------|--------------------------------|---|
|                       |                          | Number          | Kind Code <sup>2</sup><br>(if known) |                                |   |
|                       |                          | US 6,106,992    |                                      | 08-22-2000                     | Asano et al.                                    |
|                       |                          | US              |                                      |                                |   |
|                       |                          | US              |                                      |                                |   |
|                       |                          | US              |                                      |                                |   |
|                       |                          | US              |                                      |                                |   |
|                       |                          | US              |                                      |                                |   |
|                       |                          | US              |                                      |                                |   |
|                       |                          | US              |                                      |                                |   |
|                       |                          | US              |                                      |                                |   |
|                       |                          | US              |                                      |                                |   |

## **FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document      |                     |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Translation <sup>6</sup> |
|-----------------------|--------------------------|------------------------------|---------------------|--------------------------------------|--------------------------------|--|--------------------------|
|                       |                          | Country<br>Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |                                |  |                          |
|                       |                          | JP                           | 2000-243302         | A                                    | 09-08-2000                     | Mitsubishi Materials Corp.                         | Abstract                 |
|                       |                          | JP                           | 10-142781           | A                                    | 05-29-1998                     | Dainippon Printing Co., Ltd.                       | Abstract                 |
|                       |                          |                              |                     |                                      |                                |  |                          |
|                       |                          |                              |                     |                                      |                                |  |                          |
|                       |                          |                              |                     |                                      |                                |  |                          |
|                       |                          |                              |                     |                                      |                                |  |                          |
|                       |                          |                              |                     |                                      |                                |  |                          |
|                       |                          |                              |                     |                                      |                                |  |                          |
|                       |                          |                              |                     |                                      |                                |  |                          |
|                       |                          |                              |                     |                                      |                                |  |                          |

## **OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation <sup>6</sup> |
|-----------------------|--------------------------|--|--------------------------|
|                       |                          |  |                          |
|                       |                          |  |                          |
|                       |                          |  |                          |
|                       |                          |  |                          |
|                       |                          |  |                          |

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.